

ASPPB Disciplinary Data System: Historical Discipline Report

Reported Disciplinary Actions for Psychologists: 1974 – 2016

Total Number of Reported Actions in the ASPPB Disciplinary Data System: 5,930

Disciplinary Actions Taken Per Year (Past 5 Years)

Type of Sanction	2016	2015	2014	2013	2012
Total Reported Actions	130	191	170	238	217
Revocations	12	12	8	18	12
Suspensions	10	21	31	36	28
Probations	32	42	27	34	39
Reprimands	17	35	26	33	55

Note: Each disciplinary action could contain multiple sanctions including other sanctions not listed such as supervision, mandatory continuing education, etc. Therefore, the total number of sanctions reported above does not equal the total number of disciplinary actions reported.

Top 10 Reasons for Disciplinary Action

Historical Information: Data Compiled From All DDS Entries

Reason for Disciplinary Action	Number Disciplined
<i>Unprofessional Conduct</i>	945
<i>Sexual Misconduct</i>	926
<i>Negligence</i>	650
<i>Non-Sexual Dual Relationship</i>	599
<i>Conviction of Crime</i>	511
<i>Failure to Maintain Adequate or Accurate Records</i>	401
<i>Failure to Comply with Continuing Education or Competency Requirements</i>	390
<i>Incompetence</i>	328
<i>Improper or Inadequate Supervision or Delegation</i>	294
<i>Substandard or Inadequate Care</i>	286
<i>Other (the combined total of the 76 remaining reasons)</i>	4531

Note: Based on the 5,930 total reports of disciplinary action submitted to the ASPPB Disciplinary Data System. Each action could contain multiple reasons for discipline such that the total number of reasons reported far exceeds the total number of actions.

Top 10 Disciplinary Reasons by Year

Reason for Disciplinary Action	Number Disciplined
2016	
Negligence	23
Violation of Federal or State Statutes, Regulations or Rules	21
Conviction of Crime	20
Unprofessional Conduct	14
Sexual Misconduct	13
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	11
Non-Sexual Dual Relationship	8
Violation of or Failure to Comply with Licensing Board Order	8
Licensure Revocation, Suspension, or Other Disciplinary Action Taken by Federal, State, or Local Licensing Authority	6
Failure to Meet Licensing Board Reporting Requirements	5

2015	
Negligence	41
Failure to Comply w/ Continuing Education or Competency Requirements	34
Conviction of Crime	25
Violation of Federal or State Statutes, Regulations or Rules	25
Sexual Misconduct	20
Incompetence	17
Unprofessional Conduct	12
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	12
Practicing without a License	10
Fraud	9

2014	
Negligence	21
Non-Sexual Dual Relationship	17
Failure to Comply w/ Continuing Education or Competency Requirements	14
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	12
Conviction of Crime	12
Practicing without a License	12
Failure to Maintain Records or Provide Medical, Financial or other Required Information	11
Failure to Meet Licensing Board Reporting Requirements	11
Violation of Federal or State Statutes, Regulations or Rules	10
Sexual Misconduct	10

Top 10 Disciplinary Reasons by Year (Continued)

Reason for Disciplinary Action	Number Disciplined
2013	
Failure to Comply w/ Continuing Education or Competency Requirements	56
Negligence	29
Unprofessional Conduct	22
Sexual Misconduct	15
Incompetence	15
Practicing with an Expired License/Certification/Registration	15
Violation of Federal or State Statutes, Regulations or Rules	14
Conviction of Crime	13
Non-Sexual Dual Relationship	12
Failure to Meet Licensing Board Reporting Requirements	11

2012	
Unprofessional Conduct	27
Non-Sexual Dual Relationship	27
Negligence	20
Incompetence	17
Professional Opinion/Testimony Without Adequate Foundation	17
Failure to Maintain Adequate or Accurate Records	17
Failure to Comply with Continuing Education or Competency Requirements	15
Failure to Obtain Informed Consent	14
Failure to Meet Licensing Board Reporting Requirements	13
Conviction of Crime	13