

ASPPB Disciplinary Data System: Historical Discipline Report

Reported Disciplinary Actions for Psychologists: 1974 – 2018

Total Number of Reported Actions in the ASPPB Disciplinary Data System: 6,456

Disciplinary Actions Taken Per Year (Past 5 Years)

Type of Sanction	2018	2017	2016	2015	2014
Total Reported Actions	171	172	159	222	195
Revocations	17	15	14	12	9
Suspensions	23	13	13	35	35
Probations	35	36	38	46	27
Reprimands	30	37	27	43	33

Note: Each disciplinary action could contain multiple sanctions including other sanctions not listed such as supervision, mandatory continuing education, etc. Therefore, the total number of sanctions reported above does not equal the total number of disciplinary actions reported.

Top 10 Reasons for Disciplinary Action

Historical Information: Data Compiled From All DDS Entries

Reason for Disciplinary Action	Number Disciplined
<i>Unprofessional Conduct</i>	1008
<i>Sexual Misconduct</i>	984
<i>Negligence</i>	710
<i>Non-Sexual Dual Relationship</i>	635
<i>Conviction of Crime</i>	547
<i>Failure to Maintain Adequate or Accurate Records</i>	431
<i>Failure to Comply with Continuing Education or Competency Requirements</i>	394
<i>Incompetence</i>	355
<i>Improper or Inadequate Supervision or Delegation</i>	309
<i>Substandard or Inadequate Care</i>	289
<i>Other (the combined total of the 76 remaining reasons)</i>	4436

Note: Based on the 6,297 total reports of disciplinary action submitted to the ASPPB Disciplinary Data System. Each action could contain multiple reasons for discipline such that the total number of reasons reported far exceeds the total number of actions.

Top 10 Disciplinary Reasons by Year

Reason for Disciplinary Action	Number Disciplined
2018	
Unprofessional Conduct	26
Negligence	21
Violation of Federal or State Statutes, Regulations or Rules	18
Sexual Misconduct	17
Incompetence	12
Conviction of Crime	12
Violation of or Failure to Comply with Licensing Board Order	12
Non-Sexual Dual Relationship	9
Fraud, Deceit, or Material Omission in Obtaining License or Renewal	9
Substandard Testing/Assessment Procedures	8

2017	
Negligence	31
Violation of Federal or State Statutes, Regulations or Rules	24
Unprofessional Conduct	20
Non-Sexual Dual Relationship	15
Conviction of Crime	14
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	13
Incompetence	13
Sexual Misconduct	11
Failure to Maintain Adequate or Accurate Records	10
Violation of or Failure to Comply with Licensing Board Order	9

2016	
Negligence	26
Conviction of Crime	21
Violation of Federal or State Statutes, Regulations or Rules	21
Unprofessional Conduct	17
Sexual Misconduct	15
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	12
Non-Sexual Dual Relationship	12
Violation of or Failure to Comply with Licensing Board Order	8
Licensure Revocation, Suspension, or Other Disciplinary Action Taken by Federal, State, or Local Licensing Authority	7
Failure to Maintain Adequate or Accurate Records	7

Top 10 Disciplinary Reasons by Year (Continued)

Reason for Disciplinary Action	Number Disciplined
2015	
Negligence	41
Failure to Comply w/ Continuing Education or Competency Requirements	34
Sexual Misconduct	29
Conviction of Crime	26
Violation of Federal or State Statutes, Regulations or Rules	25
Unprofessional Conduct	18
Incompetence	17
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	12
Practicing without a License	10
Fraud, Deceit or Material Omission in Obtaining License or Renewal	9

2014	
Negligence	22
Non-Sexual Dual Relationship	21
Failure to Comply w/ Continuing Education or Competency Requirements	14
Conviction of Crime	14
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	12
Practicing without a License	12
Sexual Misconduct	12
Unprofessional Conduct	11
Failure to Maintain Records or Provide Medical, Financial or other Required Information	11
Failure to Meet Licensing Board Reporting Requirements	11