

ASPPB Disciplinary Data System: Historical Discipline Report

Reported Disciplinary Actions for Psychologists: 1974 – 2020

Total Number of Reported Actions in the ASPPB Disciplinary Data System: 6,807

Disciplinary Actions Taken Per Year (Past 5 Years)

Type of Sanction	2020	2019	2018	2017	2016
Total Reported Actions	139	186	186	182	168
Revocations	14	14	17	16	14
Suspensions	15	30	26	16	16
Probations	36	52	38	37	40
Reprimands	32	44	35	40	30

Note: Each disciplinary action could contain multiple sanctions including other sanctions not listed such as supervision, mandatory continuing education, etc. Therefore, the total number of sanctions reported above does not equal the total number of disciplinary actions reported.

Top 10 Reasons for Disciplinary Action

Historical Information: Data Compiled From All DDS Entries

Reason for Disciplinary Action	Number Disciplined
<i>Unprofessional Conduct</i>	1062
<i>Sexual Misconduct</i>	1007
<i>Negligence</i>	759
<i>Non-Sexual Dual Relationship</i>	657
<i>Conviction of Crime</i>	578
<i>Failure to Maintain Adequate or Accurate Records</i>	456
<i>Failure to Comply with Continuing Education or Competency Requirements</i>	412
<i>Incompetence</i>	373
<i>Improper or Inadequate Supervision or Delegation</i>	320
<i>Breach of Confidentiality</i>	299
<i>Other (the combined total of the 76 remaining reasons)</i>	4764

Note: Based on the 6,664 total reports of disciplinary action submitted to the ASPPB Disciplinary Data System. Each action could contain multiple reasons for discipline such that the total number of reasons reported far exceeds the total number of actions.

Top 10 Disciplinary Reasons by Year

Reason for Disciplinary Action	Number Disciplined
2020	
Negligence	23
Unprofessional Conduct	22
Violation of Federal or State Statutes, Regulations or Rules	20
Failure to Maintain Adequate or Accurate Records	14
Conviction of Crime	12
Failure to Comply with Continuing Education or Competency Requirements	12
Sexual Misconduct	10
Allowing or Aiding Unlicensed Practice	9
Non-Sexual Dual Relationship or Boundary Violation	7
Violation of or Failure to Comply with Licensing Board Order	7

2019	
Unprofessional Conduct	30
Negligence	24
Violation of Federal or State Statutes, Regulations or Rules	22
Conviction of Crime	18
Practicing without a License	18
Incompetence	12
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	11
Licensure Revocation, Suspension, or Other Disciplinary Action Taken by Federal, State, or Local Licensing Authority	11
Failure to Maintain Adequate or Accurate Records	10
Sexual Misconduct	9

2018	
Unprofessional Conduct	28
Negligence	21
Violation of Federal or State Statutes, Regulations or Rules	18
Sexual Misconduct	18
Incompetence	13
Conviction of Crime	13
Violation of or Failure to Comply with Licensing Board Order	13
Non-Sexual Dual Relationship	11
Substandard Testing/Assessment Procedures	10
Fraud, Deceit, or Material Omission in Obtaining License or Renewal	9

Top 10 Disciplinary Reasons by Year (Continued)

Reason for Disciplinary Action	Number Disciplined
2017	
Negligence	31
Violation of Federal or State Statutes, Regulations or Rules	24
Unprofessional Conduct	22
Non-Sexual Dual Relationship	17
Conviction of Crime	15
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	13
Incompetence	13
Sexual Misconduct	13
Failure to Maintain Adequate or Accurate Records	10
License Revocation, Suspension, or Other Disciplinary Action Taken by Federal, State or Local Licensing Authority	9

2016	
Negligence	27
Conviction of Crime	21
Violation of Federal or State Statutes, Regulations or Rules	21
Unprofessional Conduct	18
Sexual Misconduct	18
Non-Sexual Dual Relationship or Boundary Violation	13
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	12
Violation of or Failure to Comply with Licensing Board Order	8
Licensure Revocation, Suspension, or Other Disciplinary Action Taken by Federal, State, or Local Licensing Authority	8
Failure to Maintain Adequate or Accurate Records	8