

ASPPB Disciplinary Data System: Historical Discipline Report

Reported Disciplinary Actions for Psychologists: 1974 – 2021

Total Number of Reported Actions in the ASPPB Disciplinary Data System: 6,946

Disciplinary Actions Taken Per Year (Past 5 Years)

Type of Sanction	2021	2020	2019	2018	2017
Total Reported Actions	107	154	187	189	182
Revocations	9	14	14	17	16
Suspensions	11	18	30	26	16
Probations	23	42	52	39	37
Reprimands	28	36	44	37	40

Note: Each disciplinary action could contain multiple sanctions including other sanctions not listed such as supervision, mandatory continuing education, etc. Therefore, the total number of sanctions reported above does not equal the total number of disciplinary actions reported.

Top 10 Reasons for Disciplinary Action

Historical Information: Data Compiled From All DDS Entries

Reason for Disciplinary Action	Number Disciplined
<i>Unprofessional Conduct</i>	1096
<i>Sexual Misconduct</i>	1022
<i>Negligence</i>	791
<i>Non-Sexual Dual Relationship</i>	671
<i>Conviction of Crime</i>	584
<i>Failure to Maintain Adequate or Accurate Records</i>	463
<i>Failure to Comply with Continuing Education or Competency Requirements</i>	420
<i>Incompetence</i>	379
<i>Improper or Inadequate Supervision or Delegation</i>	323
<i>Violation of Federal or State Statutes, Regulations or Rules</i>	311
<i>Other (the combined total of the 76 remaining reasons)</i>	4915

Note: Based on the 6,942 total reports of disciplinary action submitted to the ASPPB Disciplinary Data System. Each action could contain multiple reasons for discipline such that the total number of reasons reported far exceeds the total number of actions.

Top 10 Disciplinary Reasons by Year

Reason for Disciplinary Action	Number Disciplined
2021	
Unprofessional Conduct	32
Negligence	27
Violation of Federal or State Statutes, Regulations or Rules	22
Non-Sexual Dual Relationship or Boundary Violation	12
Sexual Misconduct	11
Conflict of Interest	7
Failure to Maintain Adequate or Accurate Records	7
Professional Opinion/Testimony without Adequate Foundation	6
Practicing Beyond Scope of Practice	6

2020	
Negligence	27
Unprofessional Conduct	23
Violation of Federal or State Statutes, Regulations or Rules	20
Failure to Maintain Adequate or Accurate Records	14
Conviction of Crime	13
Failure to Comply with Continuing Education or Competency Requirements	12
Sexual Misconduct	11
Allowing or Aiding Unlicensed Practice	9
Non-Sexual Dual Relationship or Boundary Violation	9
Incompetence	8

2019	
Unprofessional Conduct	30
Negligence	24
Violation of Federal or State Statutes, Regulations or Rules	22
Conviction of Crime	18
Practicing without a License	18
Incompetence	12
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	11
Licensure Revocation, Suspension, or Other Disciplinary Action Taken by Federal, State, or Local Licensing Authority	11
Failure to Maintain Adequate or Accurate Records	10
Sexual Misconduct	9

Top 10 Disciplinary Reasons by Year (Continued)

Reason for Disciplinary Action	Number Disciplined
2018	
Unprofessional Conduct	28
Negligence	21
Violation of Federal or State Statutes, Regulations or Rules	18
Sexual Misconduct	18
Incompetence	13
Conviction of Crime	13
Violation of or Failure to Comply with Licensing Board Order	13
Non-Sexual Dual Relationship	11
Substandard Testing/Assessment Procedures	10
Fraud, Deceit, or Material Omission in Obtaining License or Renewal	9

2017	
Negligence	31
Violation of Federal or State Statutes, Regulations or Rules	24
Unprofessional Conduct	22
Non-Sexual Dual Relationship	17
Conviction of Crime	15
Unable to Practice Safely by Reason of Alcohol or Other Substance Abuse	13
Incompetence	13
Sexual Misconduct	13
Failure to Maintain Adequate or Accurate Records	10
License Revocation, Suspension, or Other Disciplinary Action Taken by Federal, State or Local Licensing Authority	9